



HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2021
OF THE CONDITION AND AFFAIRS OF THE

Humana Health Plan, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95885 Employer's ID Number 61-1013183
(Current) (Prior)

Organized under the Laws of Kentucky, State of Domicile or Port of Entry KY

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No []

Incorporated/Organized 08/23/1982 Commenced Business 09/23/1983

Statutory Home Office 500 West Main Street, Louisville, KY, US 40202
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 500 West Main Street
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 500 West Main Street
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery, 502-580-3026
(Name) (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com, 502-580-2099
(E-mail Address) (FAX Number)

OFFICERS

President & CEO Bruce Dale Broussard Chief Financial Officer Brian Andrew Kane
Associate VP, Asst Gen Counsel & Corporate Secretary Joseph Matthew Ruschell SVP, Chief Actuary Vanessa Marie Olson

OTHER

<u>Alan James Bailey, VP & Treasurer</u>	<u>John Edward Barger III, SVP, Medicaid President</u>	<u>Andrew Joseph Besendorf III, Appointed Actuary</u>
<u>Charles Wilbur Dow Jr., Regional President</u>	<u>Courtney Danielle Durall, Assistant Corporate Secretary and Legal Advisor</u>	<u>Douglas Allen Edwards, Senior Vice President, Workplace Experience</u>
<u>Jeffrey Carl Fernandez, SVP, Medicare West and MarketPOINT</u>	<u>Christopher Howal Hunter, Segment President, Group & Military Business</u>	<u>Steven Edward McCulley, SVP, Medicare</u>
<u>Matthew George Moore, Regional President</u>	<u>Sean Joseph O'Reilly, SVP, Enterprise Compliance & Chief Compliance Officer</u>	<u>Bruno Roger Piquin, Regional President</u>
<u>William Mark Preston, VP, Investments</u>	<u>George Renaudin II, SVP, Medicare East & Provider</u>	<u>Donald Hank Robinson, SVP, Tax</u>
<u>Susan Draney Schick, SVP, Employer Group</u>	<u>Gilbert Alan Stewart, SVP, Medicare Divisional Leader</u>	<u>Daniel Andrew Tufto, SVP, Medicare Divisional Leader</u>
<u>Richard Andrew Vollmer Jr., SVP, Medicare Divisional Leader</u>	<u>Timothy Alan Wheatley, Segment President, Retail</u>	<u>Ralph Martin Wilson, Vice President</u>
<u>Cynthia Hillebrand Zipperle, SVP, Chief Accounting Officer & Controller</u>		

DIRECTORS OR TRUSTEES

Bruce Dale Broussard Brian Andrew Kane Timothy Alan Wheatley

State of Kentucky SS:
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard
President & CEO

Joseph Matthew Ruschell
Assoc. VP, Asst. General Counsel & Corporate Secretary

Alan James Bailey
VP & Treasurer

Subscribed and sworn to before me this 10th day of May, 2021

- a. Is this an original filing? Yes [] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Julia Wentworth
Notary Public
January 10, 2025

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	420,322,948	0	420,322,948	370,298,186
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	27,600,000	0	27,600,000	27,600,000
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$6,982,213), cash equivalents (\$59,042,956) and short-term investments (\$0)	66,025,168	0	66,025,168	153,351,631
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	2,056,260	0	2,056,260	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	516,004,376	0	516,004,376	551,249,817
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	2,130,439	0	2,130,439	2,431,682
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	25,846,023	420,802	25,425,222	26,405,949
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$3,507,262) and contracts subject to redetermination (\$5,151,841)	8,659,102	0	8,659,102	8,906,530
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	21,396,692	32,434	21,364,257	29,730,956
18.1 Current federal and foreign income tax recoverable and interest thereon	136,301	0	136,301	15,552,722
18.2 Net deferred tax asset	13,118,930	3,235,446	9,883,484	9,883,484
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	910,628	910,628	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	59,781,476	0	59,781,476	0
24. Health care (\$22,245,433) and other amounts receivable	36,335,517	13,958,819	22,376,697	22,260,730
25. Aggregate write-ins for other than invested assets	7,427,448	5,703,997	1,723,451	556,046
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	691,746,932	24,262,126	667,484,806	666,977,916
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	691,746,932	24,262,126	667,484,806	666,977,916
DETAILS OF WRITE-INS				
1101.	0	0	0	0
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Deposits	3,119,571	3,119,571	0	0
2502. Prepaid Expenses	2,239,595	2,239,595	0	0
2503. Federal Contingency Reserves	1,723,451	0	1,723,451	556,046
2598. Summary of remaining write-ins for Line 25 from overflow page	344,831	344,831	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	7,427,448	5,703,997	1,723,451	556,046

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$17,849 reinsurance ceded)	228,645,689	12,506,489	241,152,178	230,470,585
2. Accrued medical incentive pool and bonus amounts	38,457	0	38,457	56,374
3. Unpaid claims adjustment expenses	1,710,471	0	1,710,471	2,532,825
4. Aggregate health policy reserves, including the liability of \$946,248 for medical loss ratio rebate per the Public Health Service Act	68,493,879	0	68,493,879	63,160,068
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	875,056	0	875,056	875,056
8. Premiums received in advance	15,687,296	0	15,687,296	13,721,475
9. General expenses due or accrued	20,737,706	0	20,737,706	28,182,337
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized gains (losses))	0	0	0	0
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	0	0	0	0
12. Amounts withheld or retained for the account of others	0	0	0	64
13. Remittances and items not allocated	2,673,706	0	2,673,706	2,653,547
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	0	0	0	7,372,835
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	2,395,904
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$9,757,059 unauthorized reinsurers and \$0 certified reinsurers)	9,757,059	0	9,757,059	9,595,680
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	43,925,903	0	43,925,903	41,337,091
23. Aggregate write-ins for other liabilities (including \$313,274 current)	10,245,959	0	10,245,959	9,048,779
24. Total liabilities (Lines 1 to 23)	402,791,182	12,506,489	415,297,671	411,402,618
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	2,248,000	2,248,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	232,187,779	232,187,779
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	17,751,356	21,139,519
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	252,187,135	255,575,298
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	667,484,806	666,977,916
DETAILS OF WRITE-INS				
2301. Reinsurance Payable	9,598,756	0	9,598,756	8,428,015
2302. Unclaimed Property	647,203	0	647,203	605,268
2303. OPM Contract Reserves	0	0	0	15,496
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	10,245,959	0	10,245,959	9,048,779
2501.	XXX	XXX	0	0
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX	0	0
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,014,866	1,008,098	4,068,347
2. Net premium income (including \$ 0 non-health premium income)	XXX	535,370,030	516,932,713	2,113,370,262
3. Change in unearned premium reserves and reserve for rate credits	XXX	(197,479)	(1,663,454)	708,989
4. Fee-for-service (net of \$ 0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	535,172,551	515,269,259	2,114,079,252
Hospital and Medical:				
9. Hospital/medical benefits	14,195,019	346,058,498	302,587,464	1,334,144,099
10. Other professional services	54,707	1,286,992	1,164,679	38,907,700
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	820,988	20,226,815	23,065,370	91,226,796
13. Prescription drugs	3,916,719	96,430,132	93,191,790	380,140,325
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	(22,360)	3,177	(856,424)
16. Subtotal (Lines 9 to 15)	18,987,433	463,980,077	420,012,481	1,843,562,496
Less:				
17. Net reinsurance recoveries	0	(60,228)	(5,566,531)	5,391,662
18. Total hospital and medical (Lines 16 minus 17)	18,987,433	464,040,305	425,579,012	1,838,170,834
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ 22,879,843 cost containment expenses	0	27,099,062	27,958,647	119,240,262
21. General administrative expenses	0	43,704,692	76,214,910	236,040,040
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)	0	0	0	10,951,056
23. Total underwriting deductions (Lines 18 through 22)	18,987,433	534,844,059	529,752,569	2,204,402,192
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	328,492	(14,483,310)	(90,322,940)
25. Net investment income earned	0	2,245,839	3,220,044	10,334,644
26. Net realized capital gains (losses) less capital gains tax of \$ 379,065	0	1,426,008	1,023,379	(1,383,041)
27. Net investment gains (losses) (Lines 25 plus 26)	0	3,671,847	4,243,423	8,951,603
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0) (amount charged off \$ 0)]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	114	0	(71,365)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	4,000,453	(10,239,887)	(81,442,703)
31. Federal and foreign income taxes incurred	XXX	(515,366)	7,605,377	(9,989,492)
32. Net income (loss) (Lines 30 minus 31)	XXX	4,515,819	(17,845,263)	(71,453,210)
DETAILS OF WRITE-INS				
0601.	XXX	0	0	0
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX	0	0	0
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.	0	0	0	0
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	114	0	310
2902. Gain/(Loss) on Disposal	0	0	0	(71,675)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	114	0	(71,365)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	255,575,298	250,805,864	250,805,864
34. Net income or (loss) from Line 32.....	4,515,819	(17,845,263)	(71,453,210)
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(166,871)	(1,374,884)	11,568
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax.....	0	0	(29,619)
39. Change in nonadmitted assets.....	(7,737,111)	(545,898)	1,240,695
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in.....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in.....	0	0	75,000,000
45.2 Transferred to capital (Stock Dividend).....	0	0	0
45.3 Transferred from capital.....	0	0	0
46. Dividends to stockholders.....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	(3,388,163)	(19,766,045)	4,769,434
49. Capital and surplus end of reporting period (Line 33 plus 48)	252,187,135	231,039,819	255,575,298
DETAILS OF WRITE-INS			
4701.	0	0	0
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	544,894,474	520,168,565	2,178,545,120
2. Net investment income	2,999,782	3,678,803	12,342,205
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	547,894,256	523,847,368	2,190,887,325
5. Benefit and loss related payments	459,646,673	369,593,017	1,747,383,679
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	68,005,223	56,479,142	350,497,479
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ 1,840,824 tax on capital gains (losses)	(15,552,722)	(3,478,401)	3,904,882
10. Total (Lines 5 through 9)	512,099,174	422,593,758	2,101,786,040
11. Net cash from operations (Line 4 minus Line 10)	35,795,082	101,253,610	89,101,285
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	80,078,512	67,760,521	148,658,243
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	147	10	10
12.7 Miscellaneous proceeds	0	0	2,395,904
12.8 Total investment proceeds (Lines 12.1 to 12.7)	80,078,659	67,760,531	151,054,156
13. Cost of investments acquired (long-term only):			
13.1 Bonds	128,917,919	70,631,184	167,198,822
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	4,452,164	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	133,370,082	70,631,184	167,198,822
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(53,291,423)	(2,870,653)	(16,144,666)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	75,000,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(69,830,122)	(129,045,688)	(104,843,050)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(69,830,122)	(129,045,688)	(29,843,050)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(87,326,463)	(30,662,731)	43,113,570
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	153,351,631	110,238,061	110,238,061
19.2 End of period (Line 18 plus Line 19.1)	66,025,168	79,575,330	153,351,631

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	345,726	0	145,411	2,010	0	0	8,568	9,357	180,380	0
2. First Quarter	336,374	1	137,157	1,817	0	0	7,379	9,449	180,571	0
3. Second Quarter	0	0	0	0	0	0	0	0	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	1,014,866	4	414,059	5,490	0	(38)	21,859	27,610	545,882	0
Total Member Ambulatory Encounters for Period:										
7. Physician	1,082,351	1	282,041	7,535	0	0	19,748	59,380	713,646	0
8. Non-Physician	443,006	0	117,553	3,127	0	0	8,596	35,394	278,336	0
9. Total	1,525,357	1	399,594	10,662	0	0	28,344	94,774	991,982	0
10. Hospital Patient Days Incurred	101,062	0	10,037	400	0	0	763	5,055	84,807	0
11. Number of Inpatient Admissions	7,380	0	1,473	72	0	0	84	498	5,253	0
12. Health Premiums Written (a)	535,558,672	17,296	187,550,234	857,379	0	0	17,757,610	35,345,606	294,030,547	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	535,361,193	9,090	187,352,754	857,379	0	0	17,765,816	35,345,606	294,030,547	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	459,687,744	(8,130)	159,862,215	735,499	0	0	13,523,025	39,629,858	245,945,277	0
18. Amount Incurred for Provision of Health Care Services	463,980,077	(4,194)	149,624,706	685,998	0	0	12,701,533	42,865,419	258,106,616	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$35,345,606

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	58,226,165	101,627,920	11,598,704	71,755,710	69,824,869	93,160,448
2. Medicare Supplement	316,731	418,768	22,339	327,597	339,070	399,469
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	1,677,584	11,845,441	179,815	4,356,963	1,857,400	4,795,907
6. Title XVIII - Medicare	11,207,386	28,426,914	1,694,070	37,689,788	12,901,455	29,769,577
7. Title XIX - Medicaid	89,156,561	156,661,580	16,053,517	98,348,730	105,210,078	103,220,239
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	160,584,427	298,980,623	29,548,445	212,478,789	190,132,872	231,345,641
10. Healthcare receivables (a)	0	36,204,252	0	0	0	30,020,274
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	(4,442)	0	38,457	0	34,014	56,374
13. Totals (Lines 9-10+11+12)	160,579,985	262,776,370	29,586,902	212,478,789	190,166,887	201,381,741

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Commonwealth of Kentucky Department of Insurance.

The Commonwealth of Kentucky Department of Insurance (the Department) recognizes only statutory accounting practices prescribed or permitted by the State of Kentucky for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Kentucky Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SSAP) has been adopted as a component of prescribed or permitted practices by the State of Kentucky. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SSAP and practices prescribed and permitted by the State of Kentucky is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
Net Income/(Loss)					
1. Humana Health Plan, Inc. Kentucky basis	xxx	xxx	xxx	\$ 4,515,819	\$ (71,453,210)
2. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
4. NAIC SSAP	xxx	xxx	xxx	<u>\$ 4,515,819</u>	<u>\$ (71,453,210)</u>
Surplus					
5. Humana Health Plan, Inc. Kentucky basis	xxx	xxx	xxx	\$ 252,187,135	\$ 255,575,298
6. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
8. NAIC SSAP	xxx	xxx	xxx	<u>\$ 252,187,135</u>	<u>\$ 255,575,298</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

NOTES TO THE FINANCIAL STATEMENTS

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

- (5) The Company estimates the fair value of its investments in mortgage loans on real estate using a discounted cash flow method based on rating, maturity and future income when compared to the expected yield for mortgages having similar characteristics. The rating for mortgages in good standing is based on property type, location, market conditions, occupancy, debt service coverage, loan to value, caliber of tenancy, borrower and payment record. Problem mortgages are priced to reflect their monetary value to the Company, considering such things as the degree of default, whether or not the payments are still being made, interest rate, maturity and operating performance of the underlying collateral.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax basis of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

D. Going Concern

Management of the Company has evaluated the Company's ability to continue as a going concern under SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). Based on this evaluation, Management has determined that there is no substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

No material change since year-end December 31, 2020.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at March 31, 2021.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at March 31, 2021:

(a) The aggregate amount of unrealized losses:

1. Less than Twelve Months	\$	(2,622,270)
2. Twelve Months or Longer	\$	(11,327)

(b) The aggregate related fair value of securities with unrealized losses:

1. Less than Twelve Months	\$	122,957,235
2. Twelve Months or Longer	\$	1,385,986

(5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

J. Real Estate

Not Applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Percentage Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	15,379,380	16,743,939	(1,364,559)	-	15,379,380	2.22%	2.30%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
o. Total Restricted Assets	\$ 15,379,380	\$ 16,743,939	\$ (1,364,559)	-	\$ 15,379,380	2.22%	2.30%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable.

M. Working Capital Finance Investments

Not Applicable.

N. Offsetting and Netting of Assets and Liabilities

Not Applicable.

O. 5GI* Securities

Not Applicable.

P. Short Sales

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

Q. Prepayment Penalty and Acceleration Fees

No material change since year-end December 31, 2020.

R. Share of Cash Pool by Asset Type

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

No material change since year-end December 31, 2020.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-B. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2020 and 2019 were \$336,088,676 and \$235,211,793, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

The Company has various related party agreements with no material change since year-end December 31, 2020.

No dividends or returns of capital were paid by the Company as of March 31, 2021

C. (1) Detail of Material Related Party Transactions

The Company has related party transactions with no material change since year-end December 31, 2020.

(2) Detail of Material Related Party Transactions Involving Services

The Company has related party transactions with no material change since year-end December 31, 2020.

(3) Detail of Material Related Party Transactions Exchange of Assets and Liabilities

Not Applicable.

(4) Detail of Amounts Owed To/From a Related Party

The Company has related party transactions with no material change since year-end December 31, 2020.

D. At March 31, 2021, the Company reported \$59,781,476 due from Humana Inc. Amounts due to or from parent are generally settled within 90 days.

E. Not Applicable.

F. The Company has a parental guarantee with Humana Inc. in accordance with certain regulatory requirements.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

M. All SCA Investments

Not Applicable.

N. Investment in Insurance SCA

Not Applicable.

O. SCA Loss Tracking

Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2020.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

A. The Company has \$1.00 par value common stock with 5,000,000 shares authorized and 2,248,000 shares issued and 2,248,000 outstanding. All shares are common stock shares.

B. The Company has no preferred stock outstanding.

C.-E. No material change since year-end December 31, 2020

F. There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

G. Not Applicable.

H. Not Applicable.

I. Not Applicable.

J. The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$(166,871).

K. Not Applicable.

L. Not Applicable.

M. Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of March 31, 2021.

15. Leases

No material change since year-end December 31, 2020.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans were as follows for the quarter ended March 31, 2021:

	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ (8,241,364)	\$ -	\$ (8,241,364)
b. Total claim payment volume	386,624,400	-	386,624,400

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

- (2) As of March 31, 2021, the Company has recorded a receivable from CMS of \$13,457,902 related to the cost share and reinsurance components of administered Medicare products and a receivable from ASO customers of \$7,906,356. The Company has recorded receivables from the following payors whose account balance are greater than 10% of the Company's accounts receivable from uninsured accident and health plans or \$10,000:

Humana Inc.	\$ 2,536,357
Northside Hospital Inc.	701,722

- (3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

- A. (1) The fair value of financial assets at March 31, 2021 were as follows:

	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					
U.S. governments	\$ -	\$ -	\$ -	\$ -	\$ -
Tax-exempt municipal	-	-	-	-	-
Residential mortgage-backed	-	-	-	-	-
Corporate debt securities	-	6,039,504	-	-	6,039,504
Total bonds	-	6,039,504	-	-	6,039,504
Total assets at fair value/NAV	\$ -	\$ 6,039,504	\$ -	\$ -	\$ 6,039,504
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2020 and March 31, 2021.

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

- (3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2020 and March 31, 2021.

- (4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended March 31, 2021.

- (5) Derivative Fair Values

Not Applicable.

- B. Other Fair Value Disclosures

Not Applicable.

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value		Admitted Assets			Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
	Value		Level 1	Level 2	Level 3			
Bonds and cash equivalents	\$ 488,501,229	\$ 479,365,904	\$ 37,170,252	\$ 451,330,977	\$ -	\$ -	\$ -	
Mortgage Loans	27,600,000	27,600,000	-	-	27,600,000	-	-	
Total	\$ 516,101,229	\$ 506,965,904	\$ 37,170,252	\$ 451,330,977	\$ 27,600,000	\$ -	\$ -	

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

The emergence and spread of the novel coronavirus, or COVID-19, beginning in the first quarter of 2020 quarter has impacted the Company's business. During periods of increased incidences of COVID-19, there was a reduction in non-COVID-19 hospital admissions and lower overall healthcare system consumption that decreased utilization. Likewise COVID-19 treatment and testing costs increased utilization. The significant disruption in utilization during 2020 also impacted the Company's ability to implement clinical initiatives to manage health care costs and chronic conditions of its members, and appropriately document their risk profiles, and, as such, effecting 2021 revenue under the risk adjustment payment model for Medicare Advantage plans.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

The Company does not have sub-prime mortgage risk.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through May 11, 2021 for the Statutory Statement issued on May 11, 2021.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

The Company estimates accrued retrospective premium adjustments for its Commercial business based on experience to date, knowledge of the marketplace, and the terms of the risk corridors program with HHS.

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.

- C. The amount of net premiums written by the Company at March 31, 2021 that are subject to retrospective rating features was \$240,670,746, or 44.95% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual	Small Group Employer	Large Group Employer	Other Categories with rebates	Total
Prior Reporting Year:					
Medical loss ratio rebates incurred	\$ -	\$ 659,477	\$ 202,372	\$ -	\$ 861,849
Medical loss ratio rebates paid	-	1,275,015	295,824	-	1,570,839
Medical loss rebates unpaid	-	748,768	-	-	748,768
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ -
Current Reporting Year-to-date:					
Medical loss ratio rebates incurred	\$ -	\$ 197,014	\$ 466	\$ -	\$ 197,480
Medical loss ratio rebates paid	-	-	-	-	-
Medical loss rebates unpaid	-	945,782	466	-	946,248
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ -

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO) Yes (X) No ()

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets

1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments) \$ 2,989,059

Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment \$ 72,252
 3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium) \$ 4,087,965

Operations (Revenue & Expenses)

4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment \$ (960,034)
 5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) \$ 25,102

b. Transitional ACA Reinsurance Program

Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance \$ -
 2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) \$ -
 3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance \$ -

Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium \$ -
 5. Ceded reinsurance premiums payable due to ACA Reinsurance \$ -
 6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance \$ -

Operations (Revenues & Expenses)

7. Ceded reinsurance premiums due to ACA Reinsurance \$ -
 8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments \$ -
 9. ACA Reinsurance contributions – not reported as ceded premiums \$ -

c. Temporary ACA Risk Corridors Program

Assets

1. Accrued retrospective premium due to ACA Risk Corridors \$ -

Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors \$ -

Operations (Revenue & Expenses)

3. Effect of ACA Risk Corridors on net premium income \$ -
 4. Effect of ACA Risk Corridors on change in reserves for rate credits \$ -

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

- (3) Roll-forward of Prior Year ACA Risk-sharing Provisions for the Following Asset (Gross of Any Nonadmission) and Liability Balances, Along with the Reasons for Adjustments to Prior Year Balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
					5	6	7	8	Ref	9	10
	1	2	3	4	5	6	7	8	Ref	9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable (including high risk pool payments)	2,784,567		-		2,784,567		142,152		A.	2,926,719	
2. Premium adjustments (payables) (including high risk pool premium)		(4,091,179)		(1,167,740)		(2,923,439)		(710,059)	B.		(3,633,498)
3. Subtotal ACA Permanent Risk Adjustment Program	2,784,567	(4,091,179)	-	(1,167,740)	2,784,567	(2,923,439)	142,152	(710,059)		2,926,719	(3,633,498)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	-		-		-		-			-	
2. Amounts recoverable for claims unpaid (contra liability)	-		-		-		-			-	
3. Amounts receivable relating to uninsured plans	-		-		-		-			-	
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium		-		-		-		-			-
5. Ceded reinsurance premiums payable		-		-		-		-			-
6. Liability for amounts held under uninsured plans		-		-		-		-			-
7. Subtotal ACA Transitional Reinsurance Program	-	-	-	-	-	-	-	-		-	-
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	-		-		-		-			-	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
3. Subtotal ACA Risk Corridors Program	-	-	-	-	-	-	-	-		-	-
d. Total for ACA Risk Sharing Provisions	2,784,567	(4,091,179)	-	(1,167,740)	2,784,567	(2,923,439)	142,152	(710,059)		2,926,719	(3,633,498)

Explanations of adjustments

A. Adjustments related to updates received from CMS associated with 2020 benefit year and the latest data from Wakely Consulting.

B. Adjustments related to updates received from CMS associated with 2020 benefit year and the latest data from Wakely Consulting.

- (4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Not Applicable.

- (5) ACA Risk Corridors Receivable as of Reporting Date

	1	2	3	4	5	6
Risk Corridors Program Year	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Assets Balance (Gross of Non-admissions) (1-2-3)	Non-admitted Amount	Net Admitted Asset (4-5)
a. 2014	\$ 11,862,832	\$ -	\$ 11,862,832	\$ -	\$ -	\$ -
b. 2015	5,541,529	-	5,541,529	-	-	-
c. 2016	5,758,266	-	5,758,266	-	-	-
d. Total (a+b+c)	\$ 23,162,627	\$ -	\$ 23,162,627	\$ -	\$ -	\$ -

STATEMENT AS OF March 31, 2021 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

25. Change in Incurred Claims and Claim Adjustment Expenses

Benefits and loss adjustment expenses payable, net of health care receivables, as of December 31, 2020, were \$203,914,565. As of March 31, 2021, \$162,903,983 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$29,795,729 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$11,214,854 favorable prior-year development since December 31, 2020. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. Included in this decrease, the Company experienced \$15,637,220 of favorable prior year claim development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2021	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2021	-	-	-	-	-
6/30/2021	-	-	-	-	-
3/31/2021	22,308,003	22,308,003	-	-	-
12/31/2020	29,496,709	29,496,709	29,479,630	-	-
9/30/2020	34,627,343	34,627,343	34,194,057	320,528	-
6/30/2020	43,272,336	43,272,336	43,128,313	-	9,362
3/31/2020	20,252,023	20,252,023	19,996,926	44,406	168,773
12/31/2019	15,848,212	15,848,212	15,670,267	-	9,435,015
9/30/2019	18,037,589	18,037,589	17,865,203	46,615	125,771
6/30/2019	44,603,286	44,603,286	44,291,107	78,729	233,223
3/31/2019	53,303,634	53,303,634	52,951,099	-	352,535

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves \$ 10,732,000
2. Date of the most recent evaluation of this liability December 31, 2020
3. Was anticipated investment income utilized in the calculation? Yes () No (X)

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 0.12%.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0000049071
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
NA		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/02/2020
- 6.4 By what department or departments?
Commonwealth of Kentucky Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
NA
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 59,781,476

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | \$ 0 | \$ 0 |
| 14.23 Common Stock | \$ 0 | \$ 0 |
| 14.24 Short-Term Investments | \$ 0 | \$ 0 |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ 0 |
| 14.26 All Other | \$ 0 | \$ 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.3 Total payable for securities lending reported on the liability page. \$ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 6th Floor, Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Charline Ottley

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC	U.....
W. Mark Preston	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107105	BLACKROCK FINANCIAL MANAGEMENT, INC	549300LVXY1VJKE13M84	The SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent91.0 %
 - 1.2 A&H cost containment percent4.3 %
 - 1.3 A&H expense percent excluding cost containment expenses9.0 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$0
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date\$0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

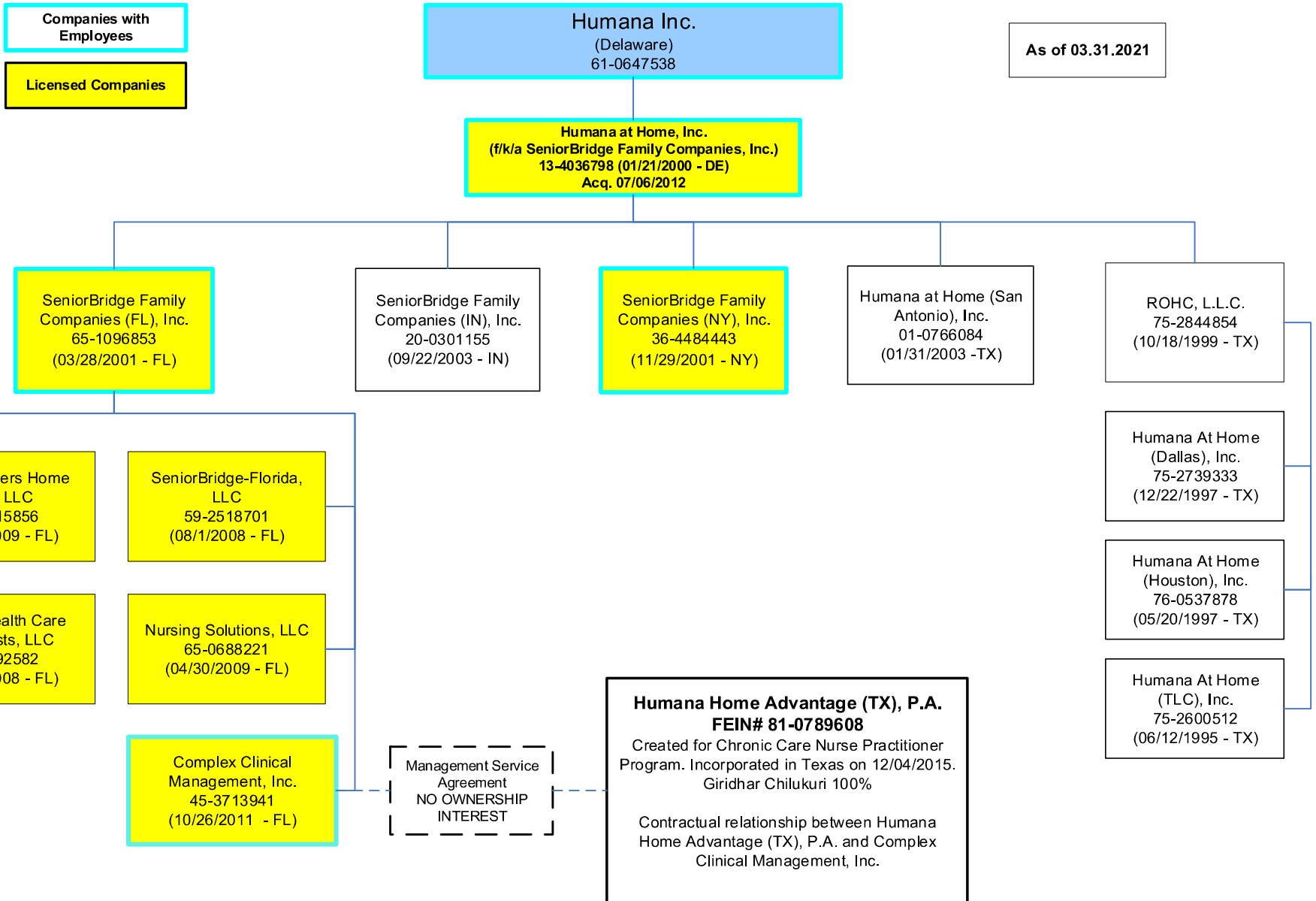
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only									
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 CHIP Title XXI	6 Federal Employees Health Benefits Program Premiums	7 Life and Annuity Premiums & Other Considerations	8 Property/Casualty Premiums	9 Total Columns 2 Through 8	10 Deposit-Type Contracts	
1. Alabama	AL	L	.0	(41,963)	.0	.0	.0	.0	.0	(41,963)	.0
2. Alaska	AK	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
3. Arizona	AZ	L	15,905,414	(56,804)	.0	.0	1,418,569	.0	.0	17,267,179	.0
4. Arkansas	AR	L	.0	(51,054)	.0	.0	.0	.0	.0	(51,054)	.0
5. California	CA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. Colorado	CO	L	10,510,270	(26,050)	.0	.0	1,130,305	.0	.0	11,614,525	.0
7. Connecticut	CT	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Delaware	DE	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
9. District of Columbia	DC	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
10. Florida	FL	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
11. Georgia	GA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
12. Hawaii	HI	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
13. Idaho	ID	L	.0	(1,445)	.0	.0	.0	.0	.0	(1,445)	.0
14. Illinois	IL	L	16,857,355	36,900,677	22,265,598	.0	9,648,405	.0	.0	85,672,034	.0
15. Indiana	IN	L	15,142,448	(43,348)	.0	.0	.0	.0	.0	15,099,100	.0
16. Iowa	IA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
17. Kansas	KS	L	235,505	(36,485)	.0	.0	4,012,979	.0	.0	4,211,999	.0
18. Kentucky	KY	L	128,165,741	(23,400)	271,764,950	.0	1,405,263	.0	.0	401,312,553	.0
19. Louisiana	LA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
20. Maine	ME	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
21. Maryland	MD	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
22. Massachusetts	MA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
23. Michigan	MI	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
24. Minnesota	MN	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
25. Mississippi	MS	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
26. Missouri	MO	L	27,595	(81,568)	.0	.0	.0	.0	.0	(53,973)	.0
27. Montana	MT	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
28. Nebraska	NE	L	.0	(6,627)	.0	.0	.0	.0	.0	(6,627)	.0
29. Nevada	NV	L	492,395	(380,447)	.0	.0	.0	.0	.0	111,948	.0
30. New Hampshire	NH	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
31. New Jersey	NJ	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
32. New Mexico	NM	L	.0	(22,398)	.0	.0	.0	.0	.0	(22,398)	.0
33. New York	NY	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
34. North Carolina	NC	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
35. North Dakota	ND	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
36. Ohio	OH	L	.0	.0	.0	.0	.0	.0	.0	.0	.0
37. Oklahoma	OK	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
38. Oregon	OR	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
39. Pennsylvania	PA	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
40. Rhode Island	RI	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
41. South Carolina	SC	L	.0	(68,572)	.0	.0	.0	.0	.0	(68,572)	.0
42. South Dakota	SD	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
43. Tennessee	TN	L	2,048,221	.0	.0	.0	142,089	.0	.0	2,190,309	.0
44. Texas	TX	L	.0	(219,265)	.0	.0	.0	.0	.0	(219,265)	.0
45. Utah	UT	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
46. Vermont	VT	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
47. Virginia	VA	L	.0	(458,435)	.0	.0	.0	.0	.0	(458,435)	.0
48. Washington	WA	L	.0	(37,209)	.0	.0	.0	.0	.0	(37,209)	.0
49. West Virginia	WV	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
50. Wisconsin	WI	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
51. Wyoming	WY	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
52. American Samoa	AS	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
53. Guam	GU	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
54. Puerto Rico	PR	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
55. U.S. Virgin Islands	VI	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
56. Northern Mariana Islands	MP	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
57. Canada	CAN	N	.0	.0	.0	.0	.0	.0	.0	.0	.0
58. Aggregate Other Aliens	OT	XXX	(960,033)	.0	.0	.0	.0	.0	.0	(960,033)	.0
59. Subtotal	XXX	188,424,909	35,345,606	294,030,547	.0	17,757,610	.0	.0	.0	535,558,672	.0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
61. Totals (Direct Business)	XXX	188,424,909	35,345,606	294,030,547	.0	17,757,610	.0	.0	.0	535,558,672	.0
DETAILS OF WRITE-INS											
58001. ACA Support Programs	XXX	(960,033)	.0	.0	.0	.0	.0	.0	.0	(960,033)	.0
58002.	XXX										
58003.	XXX										
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	(960,033)	.0	.0	.0	.0	.0	.0	.0	(960,033)	.0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....19 R - Registered - Non-domiciled RRGs.....0
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0
 N - None of the above - Not allowed to write business in the state.....38

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.



15.1

Companies with Employees

Licensed Companies

As of 03.31.2021

Humana Inc.
(Delaware)
61-0647538

Humana at Home, Inc.
(f/k/a SeniorBridge Family Companies, Inc.)
13-4036798 (01/21/2000 - DE)
Acq. 07/06/2012

SeniorBridge Family Companies (FL), Inc.
65-1096853
(03/28/2001 - FL)

SeniorBridge Family Companies (IN), Inc.
20-0301155
(09/22/2003 - IN)

SeniorBridge Family Companies (NY), Inc.
36-4484443
(11/29/2001 - NY)

Humana at Home (San Antonio), Inc.
01-0766084
(01/31/2003 - TX)

ROHC, L.L.C.
75-2844854
(10/18/1999 - TX)

Care Partners Home Care, LLC
26-0815856
(06/26/2009 - FL)

SeniorBridge-Florida, LLC
59-2518701
(08/1/2008 - FL)

Naples Health Care Specialists, LLC
65-0992582
(12/08/2008 - FL)

Nursing Solutions, LLC
65-0688221
(04/30/2009 - FL)

Complex Clinical Management, Inc.
45-3713941
(10/26/2011 - FL)

Management Service Agreement
NO OWNERSHIP INTEREST

Humana Home Advantage (TX), P.A.
FEIN# 81-0789608
Created for Chronic Care Nurse Practitioner Program. Incorporated in Texas on 12/04/2015.
Giridhar Chilukuri 100%

Contractual relationship between Humana Home Advantage (TX), P.A. and Complex Clinical Management, Inc.

Humana At Home (Dallas), Inc.
75-2739333
(12/22/1997 - TX)

Humana At Home (Houston), Inc.
76-0537878
(05/20/1997 - TX)

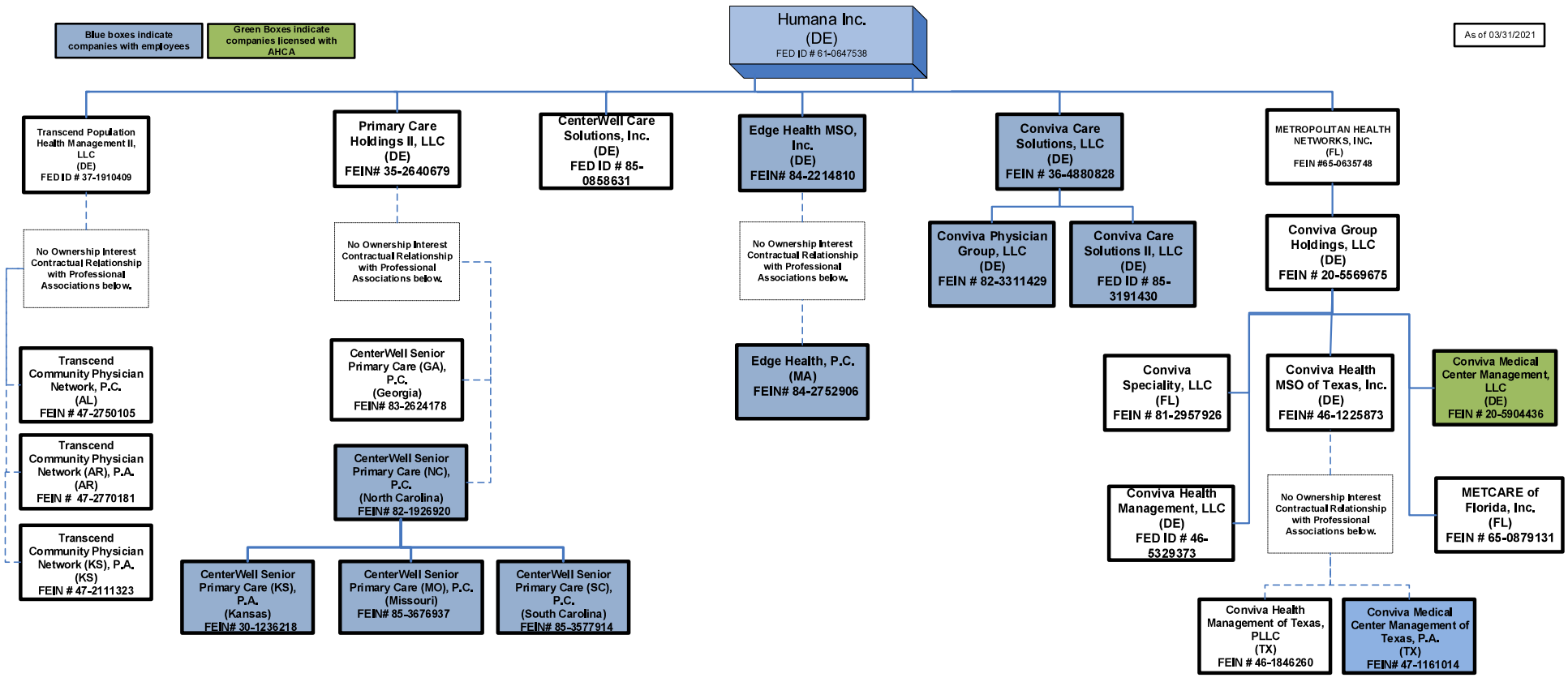
Humana At Home (TLC), Inc.
75-2600512
(06/12/1995 - TX)

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

As of 03/31/2021

Blue boxes indicate companies with employees
Green Boxes Indicate companies licensed with AHCA

15.2



- Physician Ownership of PAs and PCs
- 1) Conviva Health Management of Texas, PLLC – Janell Wilson, M.D. – 100%
 - 2) Conviva Medical Center Management of Texas, P.A. - Janell Wilson, M.D. - 100%
 - 3) Edge Health, P.C. - Freeman Tich Changamire, M.D. – 100%
 - 4) CenterWell Senior Primary Care (GA), P.C.- Lily-Lan Nhu Pham, M.D. – 100%
 - 5) CenterWell Senior Primary Care (KS), P.A. - CenterWell Senior Primary Care (NC), P.C.- 100%
 - 6) CenterWell Senior Primary Care (MO), P.C. - CenterWell Senior Primary Care (NC), P.C. – 100%
 - 7) CenterWell Senior Primary Care (NC), P.C. - Erica Savage-Jeter, M.D – 100%.
 - 8) CenterWell Senior Primary Care (SC), P.C. - CenterWell Senior Primary Care (NC), P.C. – 100%.
 - 9) Transcend Community Physician Network, P.C.- John Pigott, M.D – 100%.
 - 10) Transcend Community Physician Network (AR), P.A - Mariolga Mercado, D.O. - 100%
 - 11) Transcend Community Physician Network (KS), P.A. - Elizabeth S. Peterson, M.D. - 100%

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	.00000	20-5309363				516-526 West Main Street Condomium Council of Co-Owners, Inc.	.KY.	NIA	Humana Real Estate Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	.WA.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	.FL.	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	.WI.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	.FL.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	.TN.	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	35-2608414				CCO 1, LLC	.DE.	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	32-0545504				CCO 2, LLC	.DE.	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	.KY.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	.FL.	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.11228	36-3686002				CompBenefits Dental, Inc.	.IL.	IA	Dental Care Plus Management, Corp.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	.DE.	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	.TX.	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	.FL.	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	42-1575099				Humana Healthcare Research, Inc.	.IL.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	36-4880828				Conviva Care Solutions, LLC	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.15886	75-2043865				Humana Benefit Plan of Texas, Inc.	.TX.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management, Corp.	.IL.	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	.TX.	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.88595	31-0935772				Empheys Insurance Company	.TX.	IA	Empheys, Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	61-1237697				Empheys, Inc.	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	59-3164234				CenterWell Senior Primary Care (FL), Inc.	.FL.	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	81-3802918				FPG Acquisition Corp.	.DE.	NIA	FPG Acquisition Holdings Corp.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	81-3819187				FPG Acquisition Holdings Corp.	.DE.	NIA	FPG Holding Company, LLC	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	32-0505460				FPG Holding Company, LLC	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	45-4685400				FPG Senior Services, LLC	.FL.	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	.NY.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	46-4912173				Humana EAP and Work-Life Services of California, Inc.	.CA.	IA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	26-3592783				HJM Provider Holdings, LLC	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	.KY.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	.TX.	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	.TX.	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	65-0274594				Humana At Home 1, Inc.	.FL.	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	13-4036798				Humana at Home, Inc.	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	.IL.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	59-1843760				Humana Dental Company	.FL.	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95519	58-2209549				Humana Employers Health Plan of Georgia, Inc.	.GA.	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	61-1241225				Humana Government Business, Inc.	.DE.	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95642	72-1279235				Humana Health Benefit Plan of Louisiana, Inc.	.LA.	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.13558	26-2800286				Humana Health Company of New York, Inc.	.NY.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.69671	61-1041514				Humana Health Insurance Company of Florida, Inc.	.FL.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	26-3473328				Humana Health Plan of California, Inc.	.CA.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95348	31-1154200				Humana Health Plan of Ohio, Inc.	.OH.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95024	61-0994632				Humana Health Plan of Texas, Inc.	.TX.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95885	61-1013183				Humana Health Plan, Inc.	.KY.	RE	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	.PR.	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N.	.0
.0119	Humana Inc.	.00000	61-0647538		0000049071	NYSE	Humana Inc.	.DE.	LDP	See Footnote 1	Other	0.000	See Footnote 1	.N.	.2

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	.00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.95342	39-1525003				Humana Wisconsin Health Organization Insurance Corporation	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1239538				Humco, Inc.	KY	DS	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	39-1769093				Independent Care Health Plan	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	20-5569675				Conviva Group Holdings, LLC	DE	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	20-5904436				Conviva Medical Center Management, LLC	DE	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	81-2957926				Conviva Speciality, LLC	FL	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	20-1724127				Humana Real Estate Company	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	46-1225873				Conviva Health MSO of Texas, Inc.	DE	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	75-2600512				Humana At Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	80-0072760				Humana Digital Health and Analytics Platform Services, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	46-5329373				Conviva Health Management, LLC	DE	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	66-0872725				Humana Management Services of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	83-3321367				North Region Providers, LLC	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	35-2640679				Primary Care Holdings II, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0
.0119	Humana Inc.	.00000	37-1910409				Transcend Population Health Management II, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	.N	.0

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	84-2214810				Edge Health MSO, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	84-3226630				Humana Benefit Plan of South Carolina, Inc.	SC	IA	Humana Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	11-3391115				Alexander Infusion, LLC	NY	NIA	Eagle NY Rx, LLC	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	36-4898224				Eagle NY Rx, LLC	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	47-1407967				Eagle Rx Holdco, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	47-1416614				Eagle Rx, Inc.	DE	NIA	Eagle Rx Holdco, Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	23-3068914				Enclara Pharmacia, Inc.	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	39-1789830				GuidantRx, Inc.	WI	NIA	PBM Holding Company	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	61-1340806				PBM Holding Company	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	20-2373204				PBM Plus Mail Service Pharmacy, LLC	DE	NIA	PBM Holding Company	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	85-3191430				Conviva Care Solutions II, LLC	DE	NIA	Conviva Care Solutions, LLC	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	85-3099097				Humana Direct Contracting Entity, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	N	0
.0119	Humana Inc.	.00000	85-0858631				CenterWell Care Solutions, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	N	0

Asterisk	Explanation
0000001	Humana Inc., a Delaware corporation and ultimate parent company in the holding company system, is a publicly traded company on the New York Stock Exchange and ownership fluctuates daily.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

- 1. This type of business is not written.

Bar Code:

- 1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid Commissions	344,831	344,831	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	344,831	344,831	0	0

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	27,600,000	27,600,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	27,600,000	27,600,000
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	27,600,000	27,600,000
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	27,600,000	27,600,000

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	370,298,186	353,116,015
2. Cost of bonds and stocks acquired	128,917,919	167,198,822
3. Accrual of discount	22,875	11,621
4. Unrealized valuation increase (decrease)	(166,871)	11,568
5. Total gain (loss) on disposals	1,804,926	437,003
6. Deduct consideration for bonds and stocks disposed of	80,078,512	148,714,814
7. Deduct amortization of premium	475,575	1,818,600
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	56,571
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	420,322,947	370,298,186
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	420,322,947	370,298,186

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	347,846,550	800,492,193	838,370,414	1,316,951	311,285,279	0	0	347,846,550
2. NAIC 2 (a)	90,010,475	287,249,659	246,434,072	(1,725,748)	129,100,314	0	0	90,010,475
3. NAIC 3 (a)	12,528,715	6,782,418	3,561,313	(278,018)	15,471,802	0	0	12,528,715
4. NAIC 4 (a)	3,409,627	0	2,032,639	87,205	1,464,193	0	0	3,409,627
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	453,795,367	1,094,524,269	1,090,398,438	(599,610)	457,321,588	0	0	453,795,367
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	453,795,367	1,094,524,269	1,090,398,438	(599,610)	457,321,588	0	0	453,795,367

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 6,999,946 ; NAIC 2 \$ 29,998,694 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Premium	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	4,999,819	0
3. Accrual of discount	181	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	5,000,000	0
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	108,730,196	76,356,331
2. Cost of cash equivalents acquired	1,567,143,479	5,774,576,409
3. Accrual of discount	19,781	400,647
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	147	10
6. Deduct consideration received on disposals	1,616,850,647	5,742,603,201
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	59,042,956	108,730,196
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	59,042,956	108,730,196

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-YD-6	UNITED STATES TREASURY		.01/20/2021	Wells		104,582	100,000	543	1.A
912828-YU-8	UNITED STATES TREASURY		.03/08/2021	UnknownNOMURA		5,173,528	5,005,000	22,120	1.A
912828-ZL-7	UNITED STATES TREASURY		.02/09/2021	CHASE/GREENWICH CAP		1,351,107	1,350,000	1,426	1.A
0599999. Subtotal - Bonds - U.S. Governments						6,629,217	6,455,000	24,090	XXX
698299-BN-3	PANAMA, REPUBLIC OF (GOVERNMENT)	C	.01/20/2021	MORGAN STANLEY CO		884,778	880,000	6,496	2.B FE
1099999. Subtotal - Bonds - All Other Governments						884,778	880,000	6,496	XXX
3131XX-WS-6	FH ZM4257 - RMBS		.01/20/2021	JP MORGAN SECS INC. - FIXED INCOME		3,150,547	2,959,997	6,043	1.A
3132AD-T9-9	FH ZT1476 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		70,388	66,005	64	1.A
31334Y-P5-0	FH QA2244 - RMBS		.02/26/2021	NOMURA SECURITIES/FIXED INCOME		705,871	665,034	554	1.A
3133KK-3X-5	FH RA4414 - RMBS		.02/03/2021	MORGAN STANLEY CO		13,104,013	12,328,517	8,561	1.A
3140J8-UX-9	FN BM4197 - RMBS		.02/26/2021	CITIGROUP GLOBAL MARKETS INC.		233,456	220,145	183	1.A
3140KV-EU-0	FN BQ9146 - RMBS		.01/06/2021	JP MORGAN SECS INC. - FIXED INCOME		635,358	612,854	443	1.A
3140LO-C8-8	FN BR1894 - RMBS		.01/06/2021	MORGAN STANLEY CO		945,562	910,905	658	1.A
3140LO-D4-6	FN BR1922 - RMBS		.01/06/2021	MORGAN STANLEY CO		484,203	466,000	337	1.A
3140LO-DR-5	FN BR1911 - RMBS		.01/06/2021	MORGAN STANLEY CO		1,044,290	1,007,000	727	1.A
3140QE-AP-7	FN CA6313 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		1,618,785	1,515,982	1,263	1.A
3140QE-DX-7	FN CA6417 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		2,378,347	2,224,704	1,854	1.A
3140QE-KY-7	FN CA6610 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		2,233,492	2,089,206	1,741	1.A
3140QF-4E-6	FN CA8020 - RMBS		.01/11/2021	JP MORGAN SECS INC. - FIXED INCOME		2,532,928	2,386,038	2,154	1.A
3140QG-3C-9	FN CA8894 - RMBS		.02/03/2021	MORGAN STANLEY CO		4,723,612	4,423,634	3,072	1.A
3140QG-U4-7	FN CA8702 - RMBS		.01/12/2021	CITIGROUP GLOBAL MARKETS INC.		6,420,370	6,010,000	7,513	1.A
3140QG-ZQ-3	FN CA8850 - RMBS		.01/29/2021	JP MORGAN SECS INC. - FIXED INCOME		4,184,824	4,037,214	2,243	1.A
3140QH-E2-7	FN CA9152 - RMBS		.02/09/2021	CITIGROUP GLOBAL MARKETS INC.		3,561,936	3,335,000	3,474	1.A
3140X4-DF-0	FN FM1001 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		78,728	73,825	72	1.A
3140X6-UC-3	FN FM3278 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		53,122	49,814	48	1.A
3140X8-3F-2	FN FMS297 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		846,011	791,358	659	1.A
3140X8-66-8	FN FM4720 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		1,836,555	1,718,916	1,432	1.A
3140X8-UU-9	FN FMS094 - RMBS		.03/10/2021	Various		12,639,261	11,827,333	11,499	1.A
3140X8-WR-4	FN FMS155 - RMBS		.01/06/2021	JP MORGAN SECS INC. - FIXED INCOME		437,656	421,140	304	1.A
3140X8-Y6-8	FN FMS232 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		697,568	652,504	544	1.A
3140X8-Y7-6	FN FMS233 - RMBS		.02/17/2021	JP MORGAN SECS INC. - FIXED INCOME		459,534	431,234	359	1.A
3140X9-AV-7	FN FMS419 - RMBS		.01/06/2021	MORGAN STANLEY CO		603,523	577,534	417	1.A
3140X9-JB-2	FN FMS657 - RMBS		.02/19/2021	MORGAN STANLEY CO		272,789	255,914	213	1.A
3140X9-JK-2	FN FMS665 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		86,253	80,882	79	1.A
31410L-XE-7	FN 890877 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		175,776	164,831	160	1.A
31418C-MG-6	FN MA3058 - RMBS		.02/26/2021	CREDIT SUISSE SECURITIES (USA)		10,000,174	9,240,704	10,267	1.A
31418C-U7-7	FN MA3305 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		154,633	145,004	141	1.A
31418C-V2-7	FN MA3332 - RMBS		.03/10/2021	NOMURA SECURITIES/FIXED INCOME		229,317	215,038	209	1.A
31418D-HL-9	FN MA3834 - RMBS		.02/26/2021	Various		3,153,331	3,018,000	2,515	1.A
31418D-IX-6	FN MA4261 - RMBS		.01/29/2021	Bank of America Securities		5,200,056	4,976,130	4,147	1.A
31418D-XG-2	FN MA4278 - RMBS		.02/17/2021	Various		2,023,537	1,983,860	1,240	1.A
646140-DR-1	NEW JERSEY ST TPK AUTH TPK REV		.01/22/2021	CITIGROUP GLOBAL MARKETS INC.		290,000	290,000	0	1.F FE
3199999. Subtotal - Bonds - U.S. Special Revenues						87,265,808	82,172,256	75,191	XXX
03938L-BC-7	ARCELOMITTAL SA	C	.01/13/2021	Various		1,080,859	961,000	20,015	3.A FE
05583J-AJ-1	BPCE SA	C	.01/12/2021	CITIGROUP GLOBAL MARKETS INC.		890,000	890,000	0	1.G FE
11135F-BE-0	BROADCOM INC		.01/04/2021	PERSHING LLC		279,280	280,000	0	2.C FE
12513G-BF-5	CDW LLC		.01/08/2021	CITIGROUP GLOBAL MARKETS INC.		398,078	390,000	5,172	3.C FE
15189X-AV-0	CENTERPOINT ENERGY HOUSTON ELECTRIC LLC		.03/08/2021	WELLS FARGO SECURITIES		323,612	325,000	0	1.F FE
202712-BN-4	COMMONWEALTH BANK OF AUSTRALIA	C	.03/02/2021	MORGAN STANLEY CO		1,300,000	1,300,000	0	2.A FE
25278X-AR-0	DIAMONDBACK ENERGY INC		.03/18/2021	GOLDMAN		792,289	795,000	0	2.C FE
29364G-AN-3	ENTERGY CORP		.03/02/2021	CITIGROUP GLOBAL MARKETS INC.		1,195,824	1,200,000	0	2.B FE
432833-AN-1	HILTON DOMESTIC OPERATING COMPANY INC		.01/19/2021	Bank of America Securities		525,000	525,000	0	3.B FE
44891A-BT-3	HYUNDAI CAPITAL AMERICA		.01/05/2021	CITIGROUP GLOBAL MARKETS INC.		479,496	480,000	0	2.A FE
46647P-CA-2	JPMORGAN CHASE & CO		.03/09/2021	JP MORGAN SECS INC. - FIXED INCOME		1,485,000	1,485,000	0	1.F FE
50077L-BD-7	KRAFT HEINZ FOODS CO		.01/06/2021	Bank of America Securities		1,227,231	1,100,000	16,460	3.A FE
53218C-AA-8	LIFE 216MR A - CMBS		.03/18/2021	DEUTSCHE BANK SECURITIES, INC.		430,000	430,000	0	1.A FE

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STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
63942L-AA-0	NAVSL 21B A - ABS		.03/16/2021	RBC CAPITAL MARKETS		1,579,952	1,580,000	.0	1.A FE
64110L-AU-0	NETFLIX INC		.01/06/2021	BNY/SUNTRUST CAPITAL MARKETS		388,879	330,000	1,097	3.C FE
651229-AW-6	NEWELL BRANDS INC		.01/06/2021	GOLDMAN		378,209	343,000	4,316	3.B FE
654740-BR-9	NISSAN MOTOR ACCEPTANCE CORP		.03/04/2021	Bank of America Securities		2,045,000	2,045,000	.0	2.C FE
68389X-CC-7	ORACLE CORP		.03/22/2021	Bank of America Securities		4,172,996	4,175,000	.0	2.A FE
808513-BM-6	CHARLES SCHWAB CORP		.03/16/2021	CREDIT SUISSE SECURITIES (USA)		2,715,000	2,715,000	.0	1.F FE
82620K-AZ-6	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV	C.	.03/02/2021	JP MORGAN SECS INC. - FIXED INCOME		1,058,919	1,060,000	.0	1.E FE
87264A-BV-6	T-MOBILE USA INC		.03/16/2021	CREDIT SUISSE SECURITIES (USA)		1,655,000	1,655,000	.0	3.B FE
88947E-AU-4	TOLL BROTHERS FINANCE CORP		.01/12/2021	Various		1,129,163	1,040,000	7,508	3.A FE
892331-AM-1	TOYOTA MOTOR CORP	C.	.03/18/2021	JP MORGAN SECS INC. - FIXED INCOME		5,025,000	5,025,000	.0	1.E FE
898324-AC-2	FIDEICOMISO FIBRA UNO BANCO ACTINVER SA	C.	.03/25/2021	GOLDMAN		532,035	485,000	4,244	2.B FE
92343V-GE-8	VERIZON COMMUNICATIONS INC		.03/11/2021	CITIGROUP GLOBAL MARKETS INC.		1,105,000	1,105,000	.0	2.A FE
92343V-GH-1	VERIZON COMMUNICATIONS INC		.03/11/2021	MORGAN STANLEY CO		159,928	160,000	.0	2.A FE
95040C-AM-6	WELLTOWER INC		.03/16/2021	JP MORGAN SECS INC. - FIXED INCOME		1,786,366	1,795,000	.0	2.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						34,138,115	33,674,000	58,813	XXX
8399997. Total - Bonds - Part 3						128,917,919	123,181,256	164,590	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						128,917,919	123,181,256	164,590	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						128,917,919	XXX	164,590	XXX

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-4B-3	UNITED STATES TREASURY		03/15/2021	Maturity @ 100.00		5,005,000	5,005,000	5,052,704	5,013,865	.0	(8,865)	.0	(8,865)	.0	5,005,000	.0	.0	.0	59,434	03/15/2021	1.A
912828-5X-4	UNITED STATES TREASURY		01/31/2021	Maturity @ 100.00		100,000	100,000	101,117	100,071	.0	(71)	.0	(71)	.0	100,000	.0	.0	.0	1,250	01/31/2021	1.A
912828-6D-7	UNITED STATES TREASURY		02/28/2021	Maturity @ 100.00		1,350,000	1,350,000	1,363,816	1,351,703	.0	(1,703)	.0	(1,703)	.0	1,350,000	.0	.0	.0	16,875	02/28/2021	1.A
0599999. Subtotal - Bonds - U.S. Governments						6,455,000	6,455,000	6,517,638	6,465,640	0	(10,640)	0	(10,640)	0	6,455,000	0	0	0	77,559	XXX	XXX
646039-YH-4	NEW JERSEY ST		01/27/2021	JEFFERIES & COMPANY, INC.		34,545	30,000	33,983	33,867	.0	(86)	.0	(86)	.0	33,782	.0	.764	.764	271	06/01/2024	1.G FE
646039-YJ-0	NEW JERSEY ST		01/11/2021	JEFFERIES & COMPANY, INC.		190,376	160,000	186,131	185,543	.0	(186)	.0	(186)	.0	185,357	.0	5,019	5,019	1,089	06/01/2025	1.G FE
646039-YK-7	NEW JERSEY ST		01/19/2021	JEFFERIES & COMPANY, INC.		36,791	30,000	35,542	35,441	.0	(53)	.0	(53)	.0	35,388	.0	1,403	1,403	238	06/01/2026	1.G FE
646039-YL-5	NEW JERSEY ST		01/19/2021	JEFFERIES & COMPANY, INC.		37,599	30,000	36,105	36,012	.0	(49)	.0	(49)	.0	35,963	.0	1,636	1,636	238	06/01/2027	1.G FE
646039-YM-3	NEW JERSEY ST		01/27/2021	MORGAN STANLEY CO		38,667	30,000	36,605	36,519	.0	(63)	.0	(63)	.0	36,456	.0	2,211	2,211	271	06/01/2028	1.G FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						337,978	280,000	328,367	327,382	0	(437)	0	(437)	0	326,945	0	11,032	11,032	2,106	XXX	XXX
609561-JN-6	MONMOUTH CNTY N J		01/11/2021	HILLTOP SECURITIES INC		313,109	235,000	307,834	300,901	.0	(235)	.0	(235)	.0	300,666	0	12,443	12,443	5,810	07/15/2032	1.A FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						313,109	235,000	307,834	300,901	0	(235)	0	(235)	0	300,666	0	12,443	12,443	5,810	XXX	XXX
01728A-40-6	ALLEGHENY CNTY PA HSP DEV AUTH REV		01/11/2021	BARCLAYS CAPITAL INC FIXED INC		321,915	245,000	316,912	310,112	.0	(231)	.0	(231)	.0	309,882	.0	12,034	12,034	6,057	07/15/2030	1.F FE
115117-MA-8	BROWARD CNTY FLA WTR & SWR UTIL REV		01/27/2021	Stifel Nicolaus & Co. CITIGROUP GLOBAL MARKETS		600,159	455,000	569,610	558,685	.0	(830)	.0	(830)	.0	557,855	.0	42,304	42,304	7,457	10/01/2039	1.B FE
153476-GA-8	CENTRAL FLA EXPIRY AUTH SR LIEN REV		01/27/2021	INC.		734,833	570,000	715,287	701,573	.0	(1,103)	.0	(1,103)	.0	700,470	.0	34,363	34,363	16,467	07/01/2037	1.E FE
254776-PC-3	DISTRICT COLUMBIA INCOME TAX REV		01/11/2021	HILLTOP SECURITIES INC NATIONAL FINL SVCS CORP.		500,483	375,000	489,930	479,138	.0	(372)	.0	(372)	.0	478,766	.0	21,717	21,717	6,875	03/01/2032	1.B FE
254776-PE-9	DISTRICT COLUMBIA INCOME TAX REV		01/11/2021			172,353	130,000	167,999	164,416	.0	(122)	.0	(122)	.0	164,294	.0	8,059	8,059	2,383	03/01/2034	1.B FE
302987-AE-2	FRESB 2020-SB70 A1H - CMBS		03/01/2021	Paydown		1,272	1,272	1,278	1,271	.0	2	.0	2	.0	1,272	.0	.0	.0	5	10/25/2039	1.A
3131X-WS-6	FH ZM4257 - RMBS		03/01/2021	Paydown		309,766	309,766	329,708	0	.0	(19,941)	.0	(19,941)	.0	309,766	.0	.0	.0	1,377	09/01/2047	1.A
31329N-J7-4	FH Z44786 - RMBS		01/11/2021	Various		1,779,957	1,654,719	1,703,585	1,699,663	.0	(1,151)	.0	(1,151)	.0	1,698,512	.0	81,444	81,444	5,884	12/01/2046	1.A
3132A9-R7-4	FH ZS8610 - RMBS		01/15/2021	Various		155,979	148,702	155,610	155,599	.0	(278)	.0	(278)	.0	155,321	.0	657	657	489	05/01/2031	1.A
3132D5-5F-5	FH SB8046 - RMBS		02/08/2021	Various		1,838,580	1,744,412	1,841,717	1,836,337	.0	(7,283)	.0	(7,283)	.0	1,829,054	.0	9,526	9,526	9,734	05/01/2035	1.A
3132DV-3V-5	FH SD8012 - RMBS		01/26/2021	Various		1,367,612	1,287,655	1,342,984	1,357,608	.0	(8,466)	.0	(8,466)	.0	1,349,143	.0	18,469	18,469	9,549	09/01/2049	1.A
3132DV-KU-8	FH SD7507 - RMBS		01/11/2021	Various		1,703,052	1,581,870	1,624,507	1,617,570	.0	(1,020)	.0	(1,020)	.0	1,616,551	.0	86,502	86,502	5,628	11/01/2049	1.A
3132DV-KV-6	FH SD7508 - RMBS		01/11/2021	Various		452,373	416,677	437,609	435,357	.0	(638)	.0	(638)	.0	434,719	.0	17,654	17,654	1,726	10/01/2049	1.A
3132DV-LA-1	FH SD7521 - RMBS		03/01/2021	Paydown		108,023	108,023	115,626	115,319	.0	(7,296)	.0	(7,296)	.0	108,023	.0	.0	.0	465	07/01/2050	1.A
3132DV-LC-7	FH SD7523 - RMBS		03/01/2021	Paydown		59,678	59,678	63,659	63,488	.0	(3,810)	.0	(3,810)	.0	59,678	.0	.0	.0	254	08/01/2050	1.A
3132WH-GW-9	FH Q43812 - RMBS		03/01/2021	Paydown		396	396	424	436	.0	(41)	.0	(41)	.0	396	.0	.0	.0	3	09/01/2046	1.A
3133G8-C9-0	FH QN1896 - RMBS		01/12/2021	Various		474,616	449,638	475,773	473,172	.0	(1,018)	.0	(1,018)	.0	472,154	.0	2,462	2,462	1,776	03/01/2035	1.A
3133G8-DD-0	FH QN1900 - RMBS		01/12/2021	Various		597,852	560,875	594,177	590,278	.0	(765)	.0	(765)	.0	589,512	.0	8,340	8,340	2,230	04/01/2035	1.A
3133K-K-3X-5	FH RA4414 - RMBS		03/01/2021	Paydown		58,294	58,294	61,961	0	.0	(3,667)	.0	(3,667)	.0	58,294	.0	.0	.0	121	01/01/2051	1.A
31346Y-YB-4	FH QA5174 - RMBS		01/11/2021	Various		3,382,287	3,173,031	3,370,850	3,357,588	.0	(7,715)	.0	(7,715)	.0	3,349,873	.0	32,414	32,414	11,245	12/01/2049	1.A
3138E6-VH-6	FN AK2415 - RMBS		01/11/2021	Various		4,164,470	3,798,776	4,068,846	4,032,327	.0	(8,832)	.0	(8,832)	.0	4,023,495	.0	140,975	140,975	17,945	02/01/2042	1.A
3138L-S-SB-7	FN AQ2313 - RMBS		03/01/2021	Paydown		501	497	496	501	.0	4	.0	4	.0	501	.0	.0	.0	3	12/01/2042	1.A
3138M7-GZ-2	FN AP3815 - RMBS		03/01/2021	Paydown		806	806	797	799	.0	7	.0	7	.0	806	.0	.0	.0	4	09/01/2042	1.A
3138M7-W2-7	FN AP4264 - RMBS		03/01/2021	Paydown		396	396	391	393	.0	3	.0	3	.0	396	.0	.0	.0	2	08/01/2042	1.A
3138MG-RH-0	FN AQ1387 - RMBS		03/01/2021	Paydown		131	131	130	130	.0	1	.0	1	.0	131	.0	.0	.0	1	12/01/2042	1.A
3138MH-KQ-5	FN AQ2102 - RMBS		03/01/2021	Paydown		332	332	329	329	.0	3	.0	3	.0	332	.0	.0	.0	2	12/01/2042	1.A
3138MN-2Y-5	FN AQ7090 - RMBS		03/01/2021	Paydown		188	188	186	186	.0	2	.0	2	.0	188	.0	.0	.0	1	12/01/2042	1.A
3138MN-A3-4	FN AQ6325 - RMBS		03/01/2021	Paydown		206	206	204	204	.0	2	.0	2	.0	206	.0	.0	.0	1	11/01/2042	1.A
3138MN-AS-9	FN AQ6316 - RMBS		03/01/2021	Paydown		237	236	234	234	.0	2	.0	2	.0	237	.0	.0	.0	1	12/01/2042	1.A
3138MP-2X-2	FN AQ7989 - RMBS		03/01/2021	Paydown		203	203	201	202	.0	2	.0	2	.0	203	.0	.0	.0	1	12/01/2042	1.A
3138MQ-J3-8	FN AQ8381 - RMBS		03/01/2021	Paydown		275	275	272	273	.0	2	.0	2	.0	275	.0	.0	.0	1	12/01/2042	1.A
3138MQ-WG-4	FN AQ8746 - RMBS		03/01/2021	Paydown		4,836	4,836	4,782	4,796	.0	40	.0	40	.0	4,836	.0	.0	.0	13	12/01/2042	1.A
3138MR-2P-5	FN AQ9781 - RMBS		03/01/2021	Paydown		73	73	72	72	.0	1	.0	1	.0	73	.0	.0	.0	0	12/01/2042	1.A
3138MR-DZ-1	FN AQ9119 - RMBS		03/01/2021	Paydown		7,747	7,747	7,659	7,681	.0	66	.0	66	.0	7,747	.0	.0	.0	43	12/01/2042	1.A

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STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3138MR-M7-3	FN A09381 - RMBS		03/01/2021	Paydown		187	187	185	185	0	2	0	2	0	187	0	0	0	1	12/01/2042	1.A
3138MR-TS-0	FN A09560 - RMBS		03/01/2021	Paydown		3,868	3,868	3,824	3,833	0	35	0	35	0	3,868	0	0	0	16	12/01/2042	1.A
3138MS-A2-5	FN A09924 - RMBS		03/01/2021	Paydown		157	157	155	155	0	1	0	1	0	157	0	0	0	1	12/01/2042	1.A
3138MS-A3-3	FN A09925 - RMBS		03/01/2021	Paydown		163	163	161	161	0	1	0	1	0	163	0	0	0	1	12/01/2042	1.A
3138NY-U9-4	FN AR2407 - RMBS		03/01/2021	Paydown		338	338	334	335	0	3	0	3	0	338	0	0	0	2	01/01/2043	1.A
3140FG-7G-8	FN BD8994 - RMBS		03/01/2021	Paydown		5,925	5,925	5,908	5,910	0	16	0	16	0	5,925	0	0	0	16	11/01/2043	1.A
3140FG-7L-7	FN BD8998 - RMBS		03/01/2021	Paydown		35,943	35,943	36,645	36,520	0	(578)	0	(578)	0	35,943	0	0	0	254	11/01/2046	1.A
3140FL-PL-6	FN BE1326 - RMBS		01/12/2021	Various		174,853	167,291	172,179	172,114	0	(290)	0	(290)	0	171,825	0	3,028	3,028	546	09/01/2031	1.A
3140FT-PP-1	FN BE7373 - RMBS		01/12/2021	Various		219,016	209,185	215,297	215,229	0	(137)	0	(137)	0	215,092	0	3,924	3,924	692	12/01/2031	1.A
3140HD-7A-6	FN BK0888 - RMBS		03/01/2021	Paydown		199,482	199,482	215,440	218,001	0	(18,520)	0	(18,520)	0	199,482	0	0	0	1,338	07/01/2048	1.A
3140J7-K4-6	FN BM3014 - RMBS		03/01/2021	Paydown		673,590	673,590	696,955	694,266	0	(20,677)	0	(20,677)	0	673,590	0	0	0	3,815	02/01/2045	1.A
3140JG-LQ-6	FN BNO334 - RMBS		03/01/2021	Paydown		20,225	20,225	21,113	21,526	0	(1,301)	0	(1,301)	0	20,225	0	0	0	126	12/01/2048	1.A
3140KV-EU-0	FN BQ8146 - RMBS		03/01/2021	Paydown		5,758	5,758	5,969	6,000	0	(211)	0	(211)	0	5,758	0	0	0	17	12/01/2050	1.A
3140KV-F5-4	FN BQ8187 - RMBS		03/01/2021	Paydown		11,640	11,640	12,073	12,072	0	(432)	0	(432)	0	11,640	0	0	0	38	01/01/2051	1.A
3140KV-GN-4	FN BQ8204 - RMBS		03/01/2021	Paydown		23,732	23,732	24,615	24,613	0	(881)	0	(881)	0	23,732	0	0	0	93	01/01/2051	1.A
3140KV-SP-2	FN BR0525 - RMBS		03/01/2021	Paydown		6,852	6,852	7,135	7,134	0	(282)	0	(282)	0	6,852	0	0	0	23	12/01/2050	1.A
3140LO-C8-8	FN BR1894 - RMBS		03/01/2021	Paydown		8,558	8,558	8,884	8,884	0	(326)	0	(326)	0	8,558	0	0	0	18	01/01/2051	1.A
3140LO-D4-6	FN BR1922 - RMBS		03/01/2021	Paydown		2,176	2,176	2,261	2,261	0	(85)	0	(85)	0	2,176	0	0	0	5	01/01/2051	1.A
3140LO-DR-5	FN BR1911 - RMBS		03/01/2021	Paydown		4,150	4,150	4,304	4,304	0	(154)	0	(154)	0	4,150	0	0	0	10	01/01/2051	1.A
3140OC-PW-0	FN CA4936 - RMBS		01/11/2021	Various		3,541,928	3,315,137	3,409,671	3,395,073	0	(2,466)	0	(2,466)	0	3,392,606	0	149,322	149,322	11,790	01/01/2050	1.A
3140OF-AE-6	FN CAB020 - RMBS		03/01/2021	Paydown		18,369	18,369	19,499	19,499	0	(1,131)	0	(1,131)	0	18,369	0	0	0	46	12/01/2050	1.A
3140OG-3C-9	FN CA8894 - RMBS		03/01/2021	Paydown		8,739	8,739	9,331	9,331	0	(593)	0	(593)	0	8,739	0	0	0	18	02/01/2051	1.A
3140OG-ED-5	FN CA8231 - RMBS		03/01/2021	Paydown		30,681	30,681	32,676	32,678	0	(1,997)	0	(1,997)	0	30,681	0	0	0	126	12/01/2050	1.A
3140OG-U4-7	FN CA8702 - RMBS		03/01/2021	Paydown		85,933	85,933	91,801	91,801	0	(5,868)	0	(5,868)	0	85,933	0	0	0	279	01/01/2036	1.A
3140OG-ZQ-3	FN CA8850 - RMBS		03/01/2021	Paydown		13,155	13,155	13,636	13,636	0	(481)	0	(481)	0	13,155	0	0	0	22	02/01/2051	1.A
3140QH-E2-7	FN CA9152 - RMBS		03/01/2021	Paydown		21,923	21,923	23,415	23,415	0	(1,492)	0	(1,492)	0	21,923	0	0	0	46	02/01/2036	1.A
3140X3-AX-6	FN FMO021 - RMBS		02/08/2021	Various		1,302,290	1,223,604	1,277,328	1,302,589	0	(8,914)	0	(8,914)	0	1,293,674	0	8,616	8,616	8,918	03/01/2049	1.A
3140X4-H9-0	FN FHI155 - RMBS		01/15/2021	Various		2,618,132	2,495,244	2,572,441	2,570,055	0	(2,564)	0	(2,564)	0	2,567,490	0	50,641	50,641	8,219	06/01/2032	1.A
3140X5-H3-0	FN FIM2049 - RMBS		02/08/2021	Various		2,278,045	2,137,037	2,179,778	2,173,949	0	(2,214)	0	(2,214)	0	2,171,735	0	106,310	106,310	11,982	12/01/2049	1.A
3140X5-J3-8	FN FIM2081 - RMBS		01/11/2021	Various		663,164	611,867	630,151	626,388	0	(124)	0	(124)	0	626,264	0	36,901	36,901	2,191	12/01/2049	1.A
3140X5-JZ-7	FN FIM2079 - RMBS		01/11/2021	Various		2,107,044	1,965,847	2,012,996	2,004,419	0	(1,151)	0	(1,151)	0	2,003,268	0	103,777	103,777	6,993	12/01/2049	1.A
3140X6-PC-9	FN FIM3118 - RMBS		01/26/2021	Various		1,786,769	1,658,876	1,783,810	1,776,446	0	(7,113)	0	(7,113)	0	1,769,333	0	17,436	17,436	9,512	05/01/2050	1.A
3140X8-UJ-9	FN FMS094 - RMBS		03/01/2021	Paydown		659,067	659,067	704,480	704,480	0	(45,414)	0	(45,414)	0	659,067	0	0	0	1,922	06/01/2049	1.A
3140X8-WR-4	FN FMS155 - RMBS		03/01/2021	Paydown		4,061	4,061	4,220	4,220	0	(159)	0	(159)	0	4,061	0	0	0	10	12/01/2050	1.A
3140X8-YK-7	FN FMS213 - RMBS		03/01/2021	Paydown		32,872	32,872	34,215	34,214	0	(1,342)	0	(1,342)	0	32,872	0	0	0	97	12/01/2050	1.A
3140X9-AV-7	FN FMS419 - RMBS		03/01/2021	Paydown		2,742	2,742	2,865	2,865	0	(123)	0	(123)	0	2,742	0	0	0	7	01/01/2051	1.A
31416X-AL-4	FN AB1810 - RMBS		03/01/2021	Paydown		144,033	144,033	152,844	151,076	0	(7,043)	0	(7,043)	0	144,033	0	0	0	726	11/01/2040	1.A
31417C-QQ-1	FN ABS862 - RMBS		03/01/2021	Paydown		271	271	268	268	0	2	0	2	0	271	0	0	0	1	08/01/2042	1.A
31417D-4F-7	FN AB7121 - RMBS		03/01/2021	Paydown		5,114	5,114	5,056	5,070	0	43	0	43	0	5,114	0	0	0	24	12/01/2042	1.A
31418C-MG-6	FN MA3058 - RMBS		03/01/2021	Paydown		109,167	109,167	117,014	119,274	0	(10,107)	0	(10,107)	0	109,167	0	0	0	688	07/01/2047	1.A
31418D-ET-5	FN MA3745 - RMBS		01/26/2021	Various		1,840,524	1,750,868	1,804,705	1,826,743	0	(11,594)	0	(11,594)	0	1,815,149	0	25,375	25,375	11,255	08/01/2049	1.A
31418D-EU-2	FN MA3746 - RMBS		01/26/2021	Various		1,182,923	1,114,488	1,162,014	1,177,357	0	(8,222)	0	(8,222)	0	1,169,136	0	13,787	13,787	8,255	08/01/2049	1.A
31418D-ID-1	FN MA3955 - RMBS		03/01/2021	Paydown		586,955	586,955	612,817	617,584	0	(30,629)	0	(30,629)	0	586,955	0	0	0	2,490	03/01/2035	1.A
31418D-N7-3	FN MA4013 - RMBS		02/08/2021	Various		1,468,832	1,402,729	1,464,537	1,466,641	0	(5,087)	0	(5,087)	0	1,461,554	0	7,278	7,278	6,514	05/01/2035	1.A
31418D-N8-1	FN MA4014 - RMBS		02/08/2021	Various		2,053,584	1,948,761	2,057,718	2,052,079	0	(8,405)	0	(8,405)	0	2,043,674	0	9,910	9,910	10,859	05/01/2035	1.A
31418D-TQ-5	FN MA4158 - RMBS		01/26/2021	Various		1,736,363	1,680,182	1,732,294	1,730,300	0	(1,318)	0	(1,318)	0	1,728,982	0	7,380	7,380	6,503	10/01/2050	1.A
31418D-WX-6	FN MA4261 - RMBS		03/01/2021	Paydown		39,311	39,311	41,080	41,080	0	(1,769)	0	(1,769)	0	39,311	0	0	0	66	02/01/2036	1.A
35563P-NP-0	SCRT 2020-1 MA - CMO/RMBS		01/12/2021	Various		1,488,353	1,420,123	1,475,794	1,470,394	0	(1,161)	0	(1,161)	0	1,469,234	0	19,119	19,119	4,217	08/25/2050	1.A
392274-26-6	GREATER ORLANDO AVIATION AUTH ORLANDO FL		01/27/2021	HILLTOP SECURITIES INC		318,716	245,000	307,451	301,500	0	(453)	0	(453)	0	301,047	0	17,668	17,668	4,015	10/01/2032	1.D FE
414009-MH-0	HARRIS CNTY TEX CULTURAL ED FACS FIN COR		01/19/2021	PERSHING LLC		93,752	75,000	92,237	89,804	0	(132)	0	(132)	0	89,672	0	4,079	4,079	5,021	12/01/2026	1.E FE
452252-PD-5	ILL																				

STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
64990G-VD-1	NEW YORK STATE DORMITORY AUTHORITY		01/27/2021	CITIGROUP GLOBAL MARKETS INC.		203,205	155,000	197,281	193,101	0	(322)	0	(322)	0	192,779	0	10,426	10,426	4,478	07/01/2036	1.D FE
66285W-UY-0	NORTH TEX TWY AUTH REV		01/27/2021	BARCLAYS CAPITAL INC		296,362	240,000	290,794	285,088	0	(465)	0	(465)	0	284,623	0	11,739	11,739	6,933	01/01/2043	1.E FE
67765Q-HM-1	OHIO ST WTR DEV AUTH REV		01/27/2021	HILLTOP SECURITIES INC		205,504	155,000	195,436	191,656	0	(289)	0	(289)	0	191,367	0	14,137	14,137	1,249	12/01/2039	1.A FE
67766W-YA-4	OHIO ST WTR DEV AUTH WTR POLLUTN CTL RE		01/11/2021	PERSHING LLC		187,909	140,000	183,385	179,403	0	(136)	0	(136)	0	179,267	0	8,643	8,643	817	12/01/2032	1.A FE
73358W-AM-3	PORT AUTH N Y & N J		01/27/2021	MORGAN STANLEY CO		267,970	205,000	263,325	257,953	0	(428)	0	(428)	0	257,525	0	10,445	10,445	2,506	11/01/2036	1.E FE
735389-B4-9	PORT SEATTLE WASH REV		01/27/2021	CITIGROUP GLOBAL MARKETS INC.		231,688	190,000	229,514	223,628	0	(469)	0	(469)	0	223,159	0	8,529	8,529	2,322	05/01/2026	1.E FE
796242-TR-6	SAN ANTONIO TEX ARPT SYS REV		01/27/2021	BARCLAYS CAPITAL INC		134,940	105,000	130,841	128,319	0	(195)	0	(195)	0	128,124	0	6,815	6,815	3,033	07/01/2032	1.E FE
914367-GK-7	UNIVERSITY KANS HOSP AUTH HEALTH FACS RE		01/27/2021	CITIGROUP GLOBAL MARKETS INC.		243,146	180,000	232,798	228,099	0	(373)	0	(373)	0	227,726	0	15,420	15,420	3,700	03/01/2031	1.D FE
91514A-JU-0	UNIVERSITY TEX UNIV REVS IAM COML PAPER		01/11/2021	HILLTOP SECURITIES INC		290,293	215,000	284,656	278,061	0	(224)	0	(224)	0	277,837	0	12,456	12,456	4,419	08/15/2029	1.A FE
93974E-BS-4	WASHINGTON ST		01/27/2021	RBC CAPITAL MARKETS		510,214	390,000	488,245	478,503	0	(744)	0	(744)	0	477,758	0	32,455	32,455	3,142	06/01/2038	1.B FE
3199999. Subtotal - Bonds - U.S. Special Revenues						49,878,237	45,986,092	49,072,551	47,575,861	0	(291,610)	0	(291,610)	0	48,607,667	0	1,270,570	1,270,570	283,798	XXX	XXX
00287Y-BX-6	ABBVIE INC		01/11/2021	MORGAN STANLEY CO		664,962	600,000	666,180	664,786	0	(230)	0	(230)	0	664,556	0	406	406	2,773	11/21/2029	2.C FE
03027X-BB-5	AMERICAN TOWER CORP		01/11/2021	JP MORGAN SECS INC, -		263,661	260,000	259,269	259,351	0	5	0	5	0	259,355	0	4,305	4,305	2,066	09/15/2025	2.C FE
05492G-AA-6	BBOMS 19QLP A - CMBS		02/15/2021	FIXED INCOME		81,176	81,176	81,126	81,127	0	49	0	49	0	81,176	0	0	0	116	12/15/2031	1.A FE
05607Q-AA-6	BX 2020-BXLP A - CMBS		02/15/2021	Paydown		953	953	953	953	0	0	0	0	0	953	0	0	0	2	12/15/2036	1.A FE
05946X-BV-4	BAFC 2003-1 A1 - CMO/RMBS		03/01/2021	Paydown		223	223	226	226	0	(3)	0	(3)	0	223	0	0	0	2	05/20/2033	1.D FE
125282-AA-1	CGDBB 2017-B1OC A - CMBS		03/15/2021	Paydown		1,050,575	1,050,575	1,051,067	1,051,021	0	(447)	0	(447)	0	1,050,575	0	0	0	2,425	07/15/2032	1.A FE
13607G-RK-2	CANADIAN IMPERIAL BANK OF COMMERCE	C	01/27/2021	US BANCORP INVESTMENTS INC.		946,650	935,000	934,476	934,567	0	13	0	13	0	934,580	0	12,070	12,070	888	06/23/2023	1.F FE
13648T-AA-5	CANADIAN PACIFIC RAILWAY CO	C	01/28/2021	GOLDMAN		134,532	130,000	129,743	129,762	0	2	0	2	0	129,764	0	4,768	4,768	1,081	03/05/2030	2.A FE
19329L-AN-7	CLPK 1512R AR - CDO	C	03/10/2021	Paydown		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	14,225	10/20/2028	1.A FE
225401-AQ-1	CREDIT SUISSE GROUP AG	C	01/27/2021	MUFG SECURITIES AMERICAS INC.		651,819	625,000	625,000	625,000	0	0	0	0	0	625,000	0	26,819	26,819	2,056	06/05/2026	2.A FE
22822V-AT-8	CROWN CASTLE INTERNATIONAL CORP		01/27/2021	Bank of America Securities		1,356,733	1,330,000	1,327,739	1,327,857	0	16	0	16	0	1,327,873	0	28,860	28,860	18,620	01/15/2031	2.C FE
24422E-VF-3	JOHN DEERE CAPITAL CORP		02/01/2021	BONY/TORONTO DOMINION SECURITI		297,494	285,000	284,812	284,833	0	2	0	2	0	284,835	0	12,659	12,659	1,995	03/09/2027	1.F FE
29444U-BG-0	EQUINIX INC		01/28/2021	Bank of America Securities		679,424	660,000	658,343	658,463	0	19	0	19	0	658,482	0	20,942	20,942	7,227	07/15/2027	2.C FE
31677Q-BR-9	FIFTH THIRD BANK NA (OHIO)		02/03/2021	MUFG SECURITIES AMERICAS INC.		677,799	635,000	634,549	634,570	0	6	0	6	0	634,576	0	43,223	43,223	7,303	02/01/2027	1.G FE
451102-BJ-5	ICAHN ENTERPRISES LP		02/17/2021	Call @ 100.00		904,000	904,000	943,450	905,428	0	(1,428)	0	(1,428)	0	904,000	0	0	0	17,969	02/01/2022	3.C FE
49327M-ZZ-6	KEYBANK NA		01/15/2021	GOLDMAN		3,022,610	2,965,000	2,963,606	2,963,979	0	24	0	24	0	2,964,003	0	58,607	58,607	13,384	03/10/2023	1.G FE
527298-BK-8	LEVEL 3 FINANCING INC		02/09/2021	Call @ 100.00		1,890,000	1,890,000	1,984,479	1,891,394	0	(1,394)	0	(1,394)	0	1,890,000	0	0	0	58,413	01/15/2024	3.B FE
58013M-FM-1	MCDONALD'S CORP		01/27/2021	NATIONAL FINL SVCS CORP.		103,798	100,000	99,946	99,950	0	0	0	0	0	99,950	0	3,848	3,848	874	03/01/2030	2.A FE
69371R-06-6	PACCAR FINANCIAL CORP		01/28/2021	US BANCORP INVESTMENTS INC.		574,505	550,000	549,555	549,632	0	7	0	7	0	549,639	0	24,866	24,866	4,794	02/06/2025	1.E FE
74340X-BM-2	PROLOGIS LP		01/28/2021	WELLS FARGO SECURITIES		814,610	775,000	769,815	770,234	0	39	0	39	0	770,273	0	44,337	44,337	5,134	04/15/2030	1.G FE
776743-AJ-5	ROPER TECHNOLOGIES INC		01/27/2021	MORGAN STANLEY CO		116,313	115,000	113,709	113,770	0	9	0	9	0	113,779	0	2,534	2,534	185	06/30/2030	2.B FE
85208N-AA-8	SPRINTS 161 A1 - ABS		03/20/2021	Paydown		30,313	30,313	30,312	30,312	0	0	0	0	0	30,313	0	0	0	255	03/20/2023	2.A FE
857477-BG-7	STATE STREET CORP		01/27/2021	NATIONAL FINL SVCS CORP.		416,420	385,000	383,437	383,568	0	11	0	11	0	383,579	0	32,841	32,841	4,748	01/24/2030	1.E FE
87264A-AP-0	T-MOBILE USA INC		03/24/2021	Call @ 103.25		51,625	50,000	51,750	51,673	0	(156)	0	(156)	0	51,517	0	108	108	5,275	01/15/2026	3.B FE
880779-AZ-6	TEREX CORP		03/31/2021	Call @ 102.81		2,056,260	2,000,000	2,061,430	2,034,627	0	(1,988)	0	(1,988)	0	2,032,639	0	23,621	23,621	56,250	02/01/2025	4.B FE
88947E-AQ-3	TOLL BROTHERS FINANCE CORP		03/10/2021	Call @ 113.47		782,950	690,000	717,693	717,693	0	(1,898)	0	(1,898)	0	715,795	0	67,155	67,155	25,875	01/15/2024	3.A FE
89788J-AA-7	TRUIST BANK		02/04/2021	WELLS FARGO SECURITIES		1,514,056	1,470,000	1,466,972	1,467,450	0	62	0	62	0	1,467,512	0	46,544	46,544	9,065	03/10/2025	1.F FE

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STATEMENT AS OF MARCH 31, 2021 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22		
										11	12	13	14	15									
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol		
90331H-PL-1	US BANK NA		01/28/2021	Bank of America Securities		1,010,726	960,000	957,955	958,326	0	34	0	34	0	958,359	0	52,367	52,367	10,387	01/21/2025	1.D FE		
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					23,094,188	22,477,241	22,782,552	22,590,552	0	(7,244)	0	(7,244)	0	22,583,308	0	510,880	510,880	270,385		XXX	XXX	
8399997	Total - Bonds - Part 4					80,078,512	75,433,332	79,008,941	77,260,337	0	(310,167)	0	(310,167)	0	78,273,586	0	1,804,926	1,804,926	639,658		XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
8399999	Total - Bonds					80,078,512	75,433,332	79,008,941	77,260,337	0	(310,167)	0	(310,167)	0	78,273,586	0	1,804,926	1,804,926	639,658		XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9999999	Totals					80,078,512	XXX	79,008,941	77,260,337	0	(310,167)	0	(310,167)	0	78,273,586	0	1,804,926	1,804,926	639,658		XXX	XXX	

E05.3

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

